

Record Retention Schedule Institutional Effectiveness

*** FOOTNOTES INDICATE CURRENT DEPARTMENTAL PRACTICE ***

Category/Type of Record	MINIMUM Retention Period (in calendar years, unless otherwise indicated)
Archival Historical Publications, Internal Institutional Research, etc. Degree, Grade, Enrollment, and Racial/Ethnic Statistics	Permanently
Building Maintenance/Management Key Records (records of issuance, re-issuance, return)	Permanently
Committee/Department Records Committee Records, Official [committees and advisory groups listed on Registrar's website at http://registrar2.wlu.edu/faculty/faccomm.htm (e.g. minutes and official correspondence -- responsibility of committee chair and/or designee)] Individual Committee Member Service Records (i.e. service on W&L committees) General Departmental Administrative Records (e.g. budget files, capital requests, staff meeting minutes, recommendations / reports on departmental matters, general correspondence, disbursements, leave schedules, CHRON files, departmental programming, etc.) Record Disposition Logs Statistics / Records / Reports that Document Compliance with SACS Standards	Until the end of the current SACS accreditation cycle (which currently runs through 2019), but not less than 5 years No required minimum Retain for as long as administratively useful Permanently Until the end of the current applicable accreditation cycle(s) SACS currently runs through 2019), but not less than 5 years
Contracts and Agreements Purchase Orders, Contracts, Leases, Agreements (including documents relating to a verbal agreement/contract) Repair and Service Records (departmental purchases) Warranty Files (departmental purchases) Written Delegation of Authority to Sign Contracts	5 from fulfillment of obligations or termination of contract Until equipment disposed of 1 after warranty expiration Indefinitely

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Export Controls	
Export Control Licenses and Associated Documentation [including records related to transactions involving travel to foreign countries or other "exports" (including disclosure of certain technology/information to foreign nationals), for which a license is required.]	Consult the terms of the specific license, but not less than 3 years
Family Educational Rights and Privacy Act Data/Documents	
Student Recommendation/Reference Letter (NON-work-study)	2 from date provided
Federal Report and Disclosure Records	
¹ EEO-6 / IPEDS Survey, Information Required to Complete Federal and State Required Statistics and Reports (Affirmative Action / Equal Opportunity)	Permanently
Institutional Information (cost of attendance, withdrawal procedures, accreditation, etc.)	3 from date of required disclosure (i.e. end of award year)
SRK Graduation/Completion, Transfer-Out Data	3 from date of required disclosure
¹ Student Body, Faculty, and Administrative Staff -- Racial Composition Statistics	Permanently
Human Resources	
Conflict of Interest Disclosure Statements (staff & faculty, where applicable)	2
Job Applications, Employment Inquiries and All Other Records for NON-HIRED Faculty/Staff Applicants (NOT otherwise included in any search committee file)	3 from date of hiring decision

¹ Currently retained indefinitely. Used in longitudinal studies.

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Human Resources (continued)	
Personnel Files for Faculty/Staff (e.g. supervisor records re: performance/attendance/discipline/etc. that are not otherwise kept in HR or Dean's Office)	5 from separation
References (employees, work-study students, etc.)	Later of 2 after separation or 2 from date provided
Student Worker Personnel Files (including Federal Work-Study students)	5 after graduation or date of last attendance
Insurance and Risk Management	
Waivers/Releases (e.g. Agreements of Responsible Travel, Fitness Center, etc.)	Later of 5 from date signed or 5 from completion of activity
Intellectual Property	
Copyright Release and Permission Forms	3 from date of last use/publication
STUDENT FINANCIAL AID (SFA)	
SFA Program Records	
Accrediting and Licensing Agency Review, Approvals, and Reports	3 from award year
SFA Recipient Records	
¹ Documentation Supporting the School's Calculation of Its Completion/Graduation or Transfer-Out Rate	Permanently

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